STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT	Docket No. 14846-36

In Re Application Of: Aura Yanavi

Serial No. TBD	Filing Date November 20, 2003	Examiner TBD	Group Art Unit TBD

Invention: Methods and Systems For Predicting Software Defects In An Upcoming Software Release

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

_____
Signature

Dated: November 20, 2003

George Morgan, (Reg. No. 46,505)
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Certificate of Mailing by First Class Mail

I certify that this document is being deposited on November 20, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		ATTY DOCKET NO. 14846-36		SERIAL NO. TBA

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Methods and Systems For Predicting Software Defects.......

FILING	GROUP
November 20, 2003	TBA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,073,107	06/06/00	Minkiewicz et al.			
		US 6,477,471	11/05/02	Hedstrom et al.			
		US 6,546,506	04/08/03	Lewis			
		US 5,446,895	08/29/95	White et al.			
		US 5,655,074	08/05/97	Rauscher			
		US 5,758,061	05/26/98	Plum			
		US 5,903,897	05/11/99	Carrier III et al.			
		US 5,960,196	09/28/99	Carrier III et al.			
		US 6,363,524	03/26/02	Loy			
		US 6,405,364	06/11/02	Bowman-Amuah			
		US 6,513,154	01/28/03	Porterfield			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Hudepohl, J.P., et al., "Integrating Metrics and Models For Software Risk Assessment," p. 93, The Seventh International Symposium on Software Reliability Engineering (ISSRE'96) October 30-November 02, 1996, White Plains, NY (Abstract)
		Levendel, Y., "Reliability Analysis of Large Software Systems: Defect Data Modeling," pp. 141-152, IEEE Transactions on Software Engineering (Abstract)

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Methods and Systems For Predicting Software Defects........	
FILING November 20, 2003	GROUP TBA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,519,763	02/11/03	Kaufer et al.			
		US 6,601,017	07/29/03	Kennedy et al.			
		US 6,601,018	07/29/03	Logan			
		US 6,601,233	07/29/03	Underwood			
		US 6,626,953	09/30/03	Johndrew et al.			
		US 6,629,266	09/30/03	Harper et al.			
		US 2002/0147961	10/10/02	Charters et al.			
		US 2002/0162090	10/31/02	Parnell et al.			
		US 2003/0018952	01/23/03	Roetzheim			
		US 2003/0033586	02/13/03	Lawler			
		US 2003/0188290	10/02/03	Corral			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Yu, T.J., et al., "An Analysis of Several Software Defect Models," pp. 1261-1270, IEEE Transactions on Software Engineering (Abstract)
		Wohlin, C., et al., "Understanding the Sources of Software Defects: A Filtering Apporach,: 8th International Workshop on Program Comprehension (IWPC'00), June 10-11, 2000 (Abstract)

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		US 2003/0196190	10/16/03	Ruffolo et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		McConnell, S., "Gauging Software Readiness with Defect Tracking," IEEE Software, pp. 135-136 (Abstract)
		Mohapatra, S., et al., "Defect Prevention through Defect Prediction: A Case Study at Infosys," Utkal University, IEEE International Conference on Softwars Maintenance (ICSM'01), November 7-9, 2001, p. 260 (Abstract)

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OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Basili, V., et al., "Understanding and Prediciting the Process of Software Maintenance Releases," 18th International Conference on Software Engineering (ICSE'96), arch 25-29, 1996, p. 464 (Abstract)
		Fenton, N.E., et al., "A Critique of Software Defect Prediction Models," IEEE Transactions on Software Engineering; pp. 675-689 (Abstract)

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